



Integrated Management System (IMS) Training - Module 1: IMS Overview



IMS Overview: Module

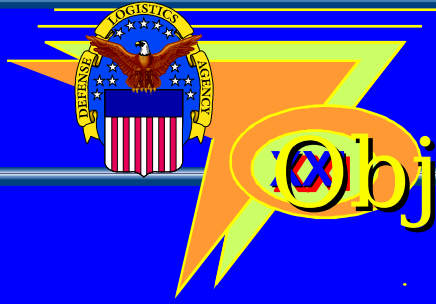
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Why are we doing the training modules now?

- **Get a common baseline understanding of the major business processes in the Command.**
- **Understand how business processes link**
- **See how you and your activity fit in.**
 - **Arm you with the tools necessary to go back to your Commands and develop your plans.**
- **And we want to test out some of the hard work done by the Business Processes Training Team.**



IMS Overview: Learning Objectives

- **To provide you with a broad understanding of the IMS:**
 - **To describe the IMS and the relationships between the key processes.**
 - **To explain who is involved, what the key events are, and when the key events occur.**

Planning > Programming > Resourcing > Budgeting > Execution > Assessment



IMS Overview: Introduction

- **Module Overview**
- **Why an IMS?**
- **What is GPRA?**
- **The Purpose of GPRA**
- **Revolution in Business Affairs**

“The purpose of the GPRA is to cause us to rethink the work that we do and why we do it.”



Revolution in Business Aff

Pushing for GPRA Compliance Because It Makes Good Business Sense

- **Focusing on outcomes and not inputs.**
- **Built on measurable/quantifiable performance goals.**
- **Allows assessment of programmatic results compared to intended purpose.**
- **Performance goals effect all levels in the Command and are reviewed in the same way at all organizational levels.**
- **Annual Performance Report and periodic Mission Management Reviews chart progress and promote “peel back” analyses to systemic root causes.**

**Our Business Plan and performance goals are our roadmap in this revolutionary environment.
The IMS is our vehicle to get there.**



System (IMS)

In its simplest form:

Conceptually correct
but in reality doesn't
adequately reflect the cross
organizational linkages
that are required
to make it work



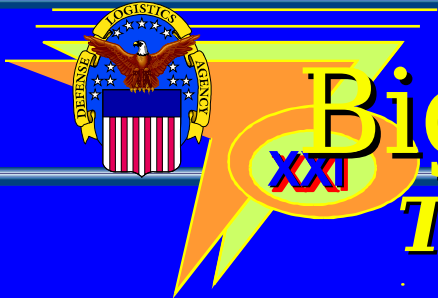
Exhibit 1.1 DCMC Integrated Management System (IMS)



IMS Overview

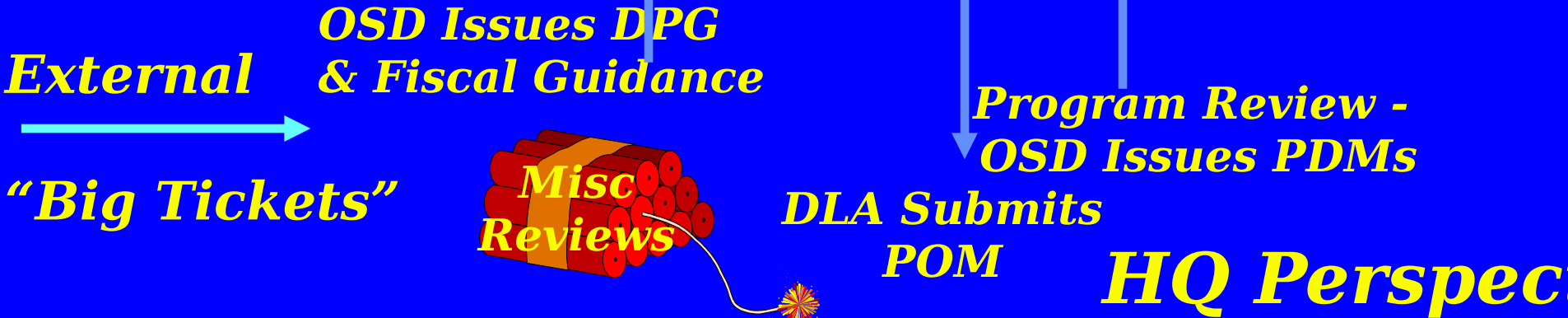
- **What is the IMS?**
 - **Composite of many existing processes**
 - **Challenge is how to make them work together**
- **PPBS and its Relationship to IMS**
 - **IMS is driven by and supports the DoD PPBS**
- **Timeline**
 - **Major milestones of the IMS**

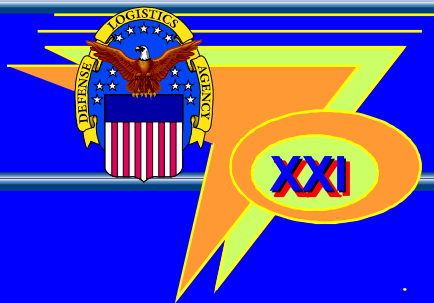
Integration is the key



Big Picture IMS Schedule

Translating Strategic Plans into Financial Plans





Ideal Model - 3 Closely Integrated Tiers



an explain the pieces well, we can show where in the organization
 , but we need to show how they interrelate and depend on each other



IMS Overview: Roles in the IMS

- **Everyone plays a role**
- **Three main groups manage the IMS:**
 - **Resource Utilization Council (RUC)**
 - **Business Process Team (BPT)**
 - **Executive Council (EC)**
- **Resourcing Decisions**
- **Summary of IMS Processes**

More to
follow

Field participation via District Commanders
and BPT members



Roles of the RUC

- **Reviews/recommends Business Plan**
- **Reviews/recommends POM policies**
- **Directs budget formulation**
- **Develops workload and resource forecasts**
- **Monitors performance; adjusts resources**
- **Analyzes results of resourcing tools**

The RUC advises the Commander



Roles of the BPT

- **Maps out IMS processes**
- **Develops IMS implementation plans**
- **Reviews CAO business cases**
- **Proposes baselines for District staffing**
- **Monitors/assesses Command-wide performance**
- **Performs analysis; provides recommendations to RUC**
- **Reviews/compiles field input for presentation to RUC**

The BPT is the analytical arm of the RUC



Roles of the EC

- **Provides strategic guidance and direction**
- **Approves the Business Plan**
- **Advises Commander on ASA and Report of Material Weaknesses**

The EC makes the decisions



DCMC IMS Overview: Planning

- Overview of Process
- The Planning Hierarchy
- The Planning Team
- DCMC Long-Range Plan
- DCMC Business Plan
- DCMC Performance Plan
- District/CAO Performance Plans
- Updating the DCMC Performance Plan
- Assessing Organizational Performance

*Not only logically linked
but currently sections
of the same document*

"One Command, One Focus"



The Planning Cycle

***You are
here***

97 Plan

98 Plan

99 Plan

***Feeds FY99-00 Bdgt &
FY00-05 POM***

***Current
-----> Report
Actions***

***Execute
& Assess***

Develop



The Planning Hierarchy

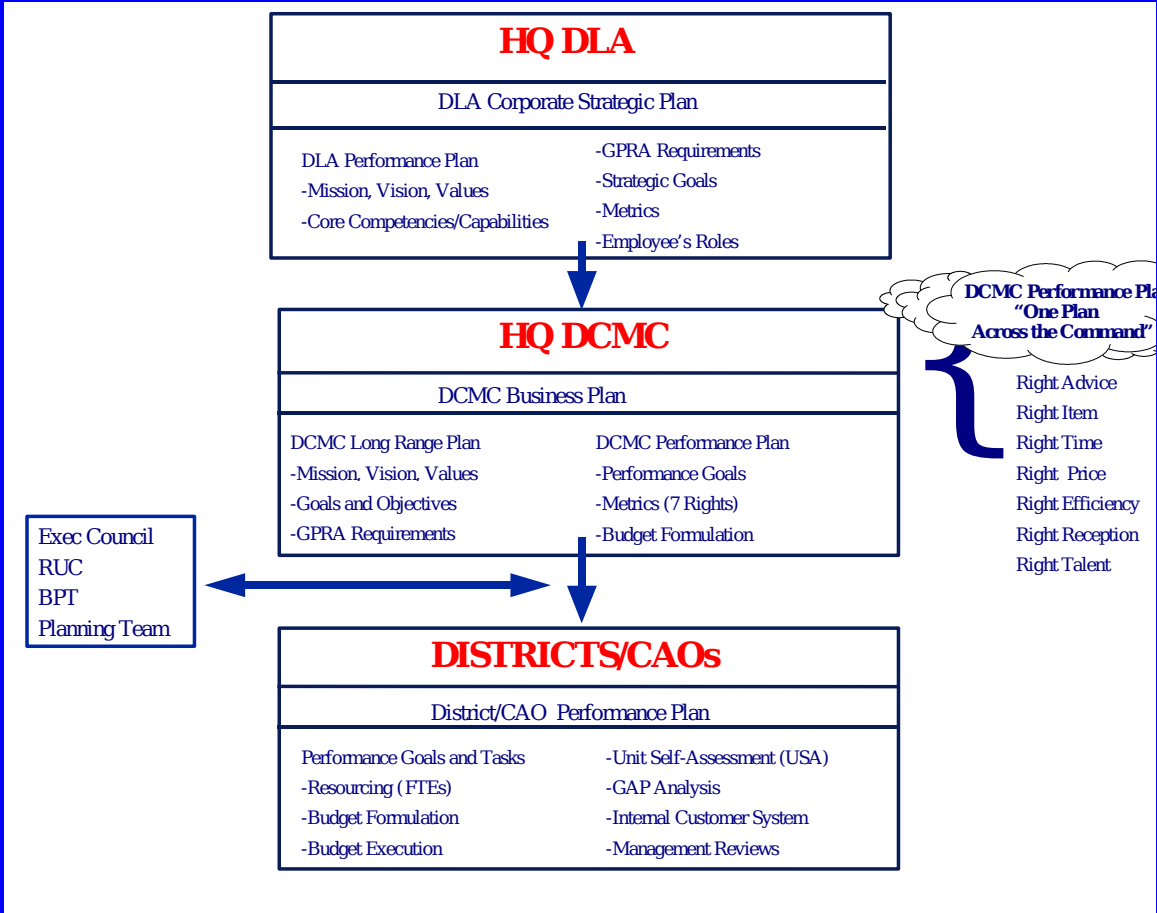
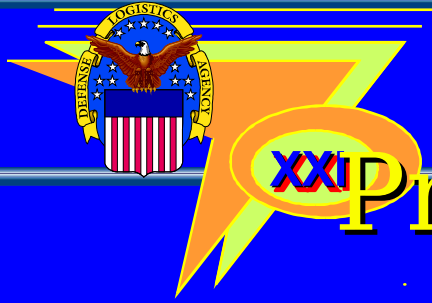


Exhibit 1.4 The Planning Hierarchy



Roles of the Planning Team

- **Facilitates development of Business Plan**
- **Consolidates/coordinates plan contents; prepares draft plan**
- **Ensures linkage to higher level documents**
- **Develops standard process for District performance plan development**
- **Facilitates translation of Performance Plan to CAOs**



IMS Overview: Programming

- Overview of Process
- Major Decision Briefings
 - Business Baseline
 - Program Proposal
- End Game Analysis
- DLA Submission
- OSD Reviews

Translating Strategic Plans
into Financial Plans



Programming Process

OSD Program Review

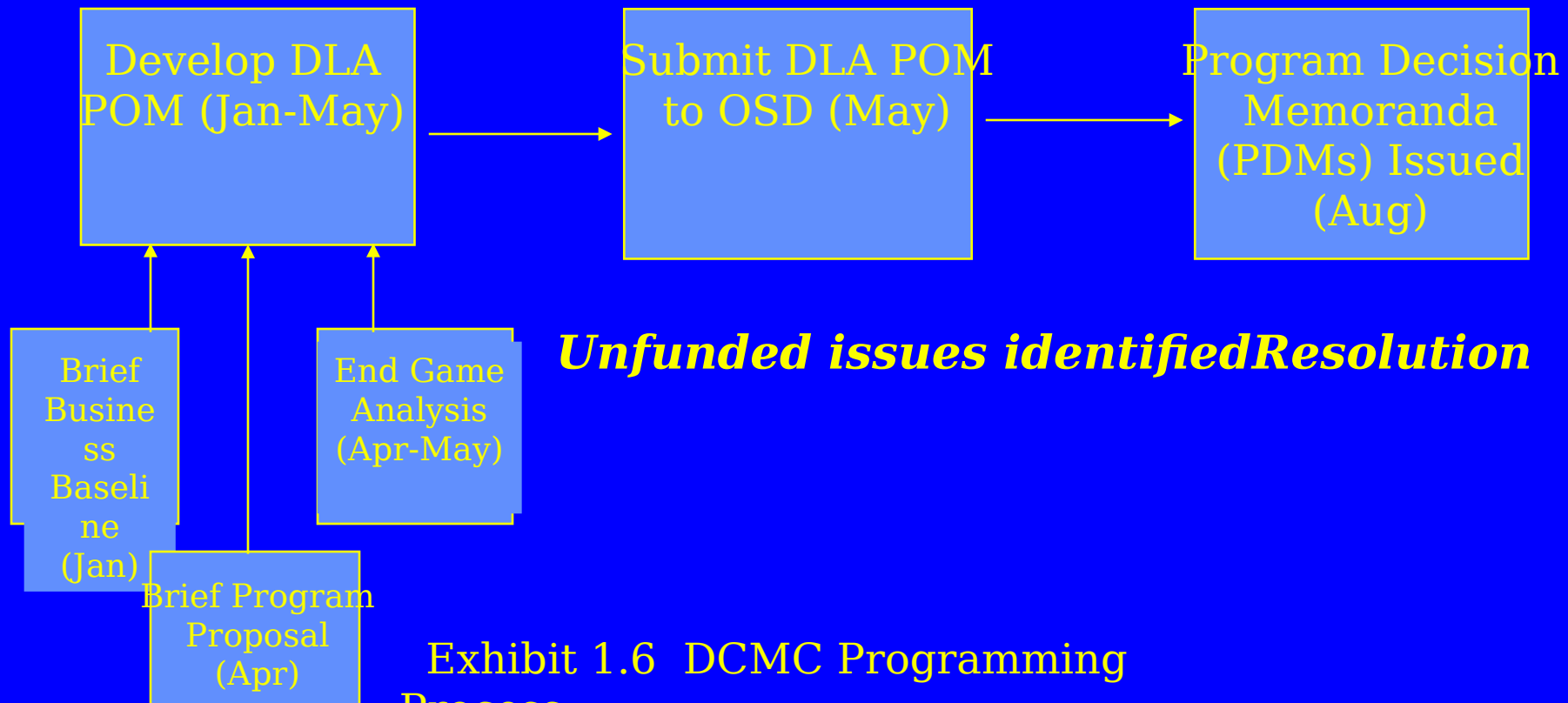
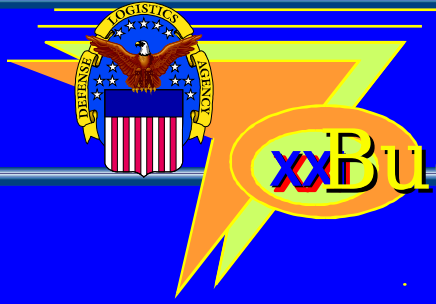


Exhibit 1.6 DCMC Programming Process



IMS Overview: Resourcing and Budgeting

- **Resourcing**
- **Budget Formulation**
- **Budget Execution**
- **Why a Resourcing and Budgeting Process?**
- **Link to Unit Cost**



Resourcing

- **Overview of Process**
- **What is an FTE?**
- **How Does a CAO Get FTEs?**
- **Changes in Workload**

Allocation of resources to accomplish mission



Budget Formulation

- **Overview of Process**
- **Budget Formats**
 - **Performance Contract**
 - **FTE Execution Plan**
 - **Reimbursable Earnings Plan**
 - **Object Class Summary Budget**

Request and receive permission to spend funds



Budget Execution

- **Overview of Process**
- **Allocations**
- **Surplus Funding**
- **Rules and Regulations**
- **Violations and Penalties**

Control and be accountable for spending



IMS Overview: Execution

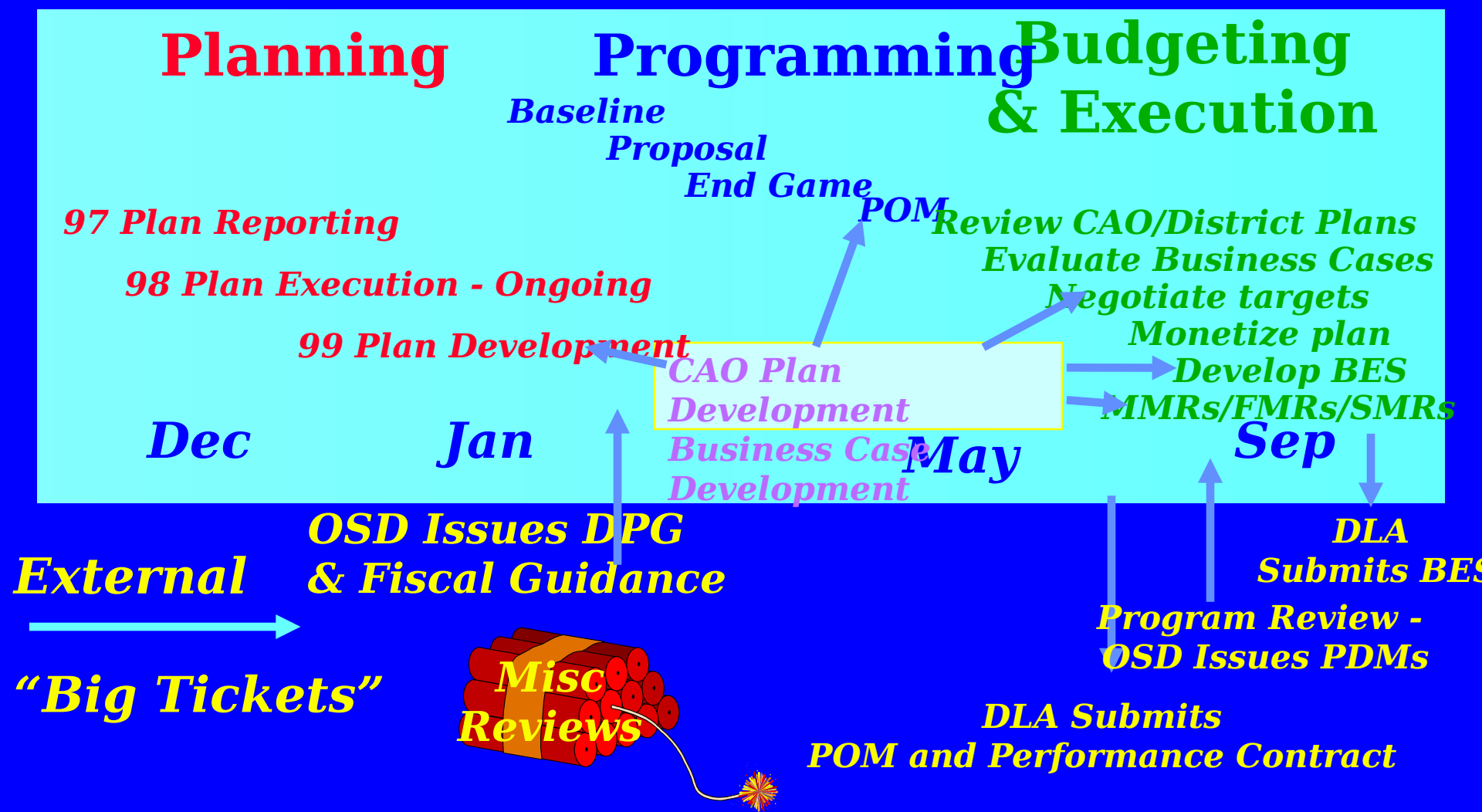
- Overview of Process
- Forms of Execution
- Support Processes
- Daily Activities Link to Execution
- Feedback

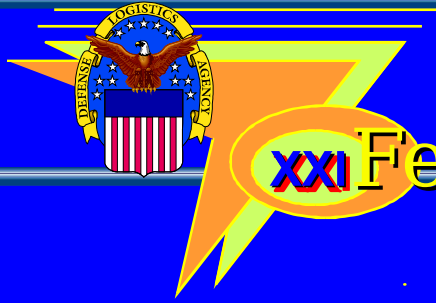
**LAS is every individual's link to the IMS
Performance Plans and Business Cases are
CAO organizational links to the IMS.**



Overarching IMS Schedule

Translating Strategic Plans into Financial Plans





IMS Overview: Assessment and Feedback

- Overview of Process
- Assessment Tools--Feedback for Decision Making
 - USA
 - Management Reviews (MMR, FMR, SMR)
 - IOA
 - Annual Performance Report
 - Mid-Year Budget Review
 - MCR
 - ASA
 - ICS
 - PBA
- Ongoing Assessment and Feedback

This section is undergoing the closest scrutiny currently. We know these tools are "clearly linked" but we need to make the "links clear."

Assessment monitors the health of operations



IMS Overview: Summary

- **IMS keeps focus on vision and goals**
- **Processes in IMS already being carried out at HQ and in field**
- **Challenge is to understand and articulate the linkages**
 - **Planning and Budgeting Workshop**
 - **Restructured and Streamlined Performance Plan**
 - **Training modules**
 - **Integrated Schedule**
 - **Acquisition Reform day training**
- **Framework for making better business decisions today and for prioritizing mission requirements for the future**